Castle Asset Management LLC.

# Table of Fees for Services

Carefully read Item 4 and Item 5 of Form ADV Part 2A (“Brochure”), as these sections of the Brochure contain important details about [insert firm name] advisory services and fees. Fees [may be/are not] negotiable. The fees below will only apply to you when you request the services listed.

|  |  |  |  |
| --- | --- | --- | --- |
| Fees Charged byInvestment Adviser | Fee Amount | Frequency Fee isCharged | Services |
| Assets Under Management Fee |  .75-1.0% |  Monthly in arrears | Portfolio management for individuals and small businesses |
| Hourly Fee |  $250.00 |  Per hour | Specific financial planning and pension consulting services |
| Subscription Fee |  $0 |  n/a |  n/a |
| Fixed Fee |  in range of $600.00-$10,000  |  Quarterly in advance | Financial planning servicesPension consulting services |
| Commissions to the Adviser |  varies |  time insurance contract is  paid | Insurance products only |
| Performance-based Fee |  $0 |  n/a |  n/a |
| Other |  $0 |  n/a |  n/a |
| Fees Charged by Third Parties | Fee Amount | Frequency Fee isCharged | Services |
| Third Party Money ManagerMatson Money |  .50% (our fee .75%-1.0%) |   Quarterly in advance  | Selection of Other Advisers |
| Robo-Adviser Fee |  $0 |  n/a  |  n/a |
| Talk with your Adviser about fees and costs applicable to you |

# Additional fees and costs to discuss with your Adviser

|  |  |  |
| --- | --- | --- |
| Additional Fees/Cost | Yes/No | Paid To |
| Brokerage Fees |  No |  |
| Commissions |  Yes | Interactive Brokers LLC. |
| Custodian Fees |  No | Interactive Brokers LLC. |
| Mark-ups |  No |  |
| Mutual Fund/ETFFees and Expenses |  Yes |  First Eagle Investment, Invesco, Blackrock, etc. |

Effective January 1, 2019

Updated February 22, 2023