Castle Asset Management LLC.

# Table of Fees for Services

Carefully read Item 4 and Item 5 of Form ADV Part 2A (“Brochure”), as these sections of the Brochure contain important details about [insert firm name] advisory services and fees. Fees [may be/are not] negotiable. The fees below will only apply to you when you request the services listed.

|  |  |  |  |
| --- | --- | --- | --- |
| Fees Charged by  Investment Adviser | Fee Amount | Frequency Fee is  Charged | Services |
| Assets Under Management Fee | .75-1.0% | Monthly in arrears | Portfolio management for individuals and small businesses |
| Hourly Fee | $250.00 | Per hour | Specific financial planning and pension consulting services |
| Subscription Fee | $0 | n/a | n/a |
| Fixed Fee | in range of $600.00-$10,000 | Quarterly in advance | Financial planning services  Pension consulting services |
| Commissions to the Adviser | varies | time insurance contract is  paid | Insurance products only |
| Performance-based Fee | $0 | n/a | n/a |
| Other | $0 | n/a | n/a |
| Fees Charged by Third Parties | Fee Amount | Frequency Fee is  Charged | Services |
| Third Party Money Manager  Matson Money | .50%  (our fee .75%-1.0%) | Quarterly in advance | Selection of Other Advisers |
| Robo-Adviser Fee | $0 | n/a | n/a |
| Talk with your Adviser about fees and costs applicable to you | | | |

# Additional fees and costs to discuss with your Adviser

|  |  |  |
| --- | --- | --- |
| Additional Fees/Cost | Yes/No | Paid To |
| Brokerage Fees | No |  |
| Commissions | Yes | Interactive Brokers LLC. |
| Custodian Fees | No | Interactive Brokers LLC. |
| Mark-ups | No |  |
| Mutual Fund/ETF  Fees and Expenses | Yes | First Eagle Investment,  Invesco, Blackrock, etc. |

Effective January 1, 2019

Updated February 22, 2023